

Premier Portfolio Management Service

ISA transfer application form

1. Personal details (spouse or civil partner of the deceased)

Existing Premier account holder account number:

Title: _____ First name: _____ Middle name(s): _____

Surname (family name): _____

Permanent residential address: _____

_____ Postcode: _____

Telephone numbers: Home: _____ Mobile: _____

Date of birth: National Insurance Number:

Email address: _____

Section 2. Declaration & authority

I wish to transfer my ISA(s) to Premier Fund Managers Limited.

I declare that:

- I am aged 18 or over.
- I am resident in the United Kingdom for tax purposes, or if not so resident, perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or am married to, or in a civil partnership with, a person who performs such duties, and will inform Premier Fund Managers Limited if I cease to be so resident, or to perform such duties, or to be married to, or in a civil partnership with, a person who performs such duties.
- I have read and understood the ISA Terms and Conditions and the Terms and Conditions of the Premier Portfolio Management Service (a copy of which with **reference number: 03042016997** I have received) and I agree to be bound by them, each as they may be amended from time to time.
- I declare that the information given in this Transfer Form is true and correct to the best of my knowledge and belief. I will notify Premier Fund Managers Limited without delay of any change in the information given.
- I understand that the transfer of my ISA may be delayed if this Transfer Form is not completed in all respects.

I authorise Premier Fund Managers Limited:

- To receive and hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash in the ISA.
- To make on my behalf any claims to relief from tax in respect of the account investments.

Money Laundering Regulations 2017:

Under these regulations there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity and date of birth. This will normally be a passport or similar form of identity check together with proof of address from a recent utility bill (not a mobile telephone bill). Alternatively, electronic checking systems may be used to verify identity, including credit agencies which may keep a record of this information although this is only to verify your identity and will not affect your credit rating. The information you provide on your application form (or subsequently) will be held and processed by Premier Fund Managers Limited in accordance with the Data Protection Notice set out in this application form.

Data Protection

I understand that this information will be processed by you in the setting up and administration of my ISA and that where a financial adviser acts on my behalf, Premier Fund Managers Limited will disclose information concerning my investment to that financial adviser.

I have read and understand the Data Protection Notice set out on this application form.

Applicant signature: _____ Date



We may only accept Powers of Attorney (original or certified copy) due to physical or mental incapacity. For physical incapacity it must be accompanied by a written declaration by the person signing the application. A draft declaration can be obtained from Premier Fund Managers Limited. In the case of mental incapacity, the Power of Attorney must be registered and stamped by the Office of the Public Guardian.

Data Protection Notice

Premier Portfolio Management Service

This notice sets out how Premier Fund Managers Limited ("PFM", "we" or "us") will process personal information (as a Data Controller).

Where your details are provided to PFM as a consequence of your engaging PFM to provide the Premier Portfolio Management Service, including investment in Premier Funds (the "Funds"), then PFM, acting as a data controller may itself (or through a third party such as SEI Investments (Europe) Limited (the "Custodian") acting in its capacity as your custodian) process your personal information or that of your representatives, such as trustees, beneficial owners, or directors.

When processing your personal information, there may also be times where the Custodian will act as a data controller. To the extent that the Custodian is required by applicable laws to act as a data controller in processing your personal data, please read the Custodian's privacy notice which can be found on its website at: www.seic.com/enUK/about.htm.

Please note the following:

Purposes of Processing and Legal basis for processing

Your personal data may be processed by PFM or the Custodian (or any of their affiliates, agents, employees, delegates or sub-contractors) for the following purposes:

- to facilitate the opening of your account with PFM, the management and administration of your investments in the Premier Portfolio Management Service, including the Funds, or otherwise and any related account on an on-going basis (the "Services") which are necessary for the performance of your contract, including without limitation the processing of redemption, conversion, transfer and additional subscription requests and the payment of distributions;
- in order to carry out anti-money laundering checks and related actions which PFM considers appropriate to meet any legal obligations imposed on PFM or the Funds relating to, or the processing in the public interest or to pursue PFM or the Funds' legitimate interests in relation to, the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis, in accordance with PFM's anti-money laundering procedures;
- to report tax related information to tax authorities in order to comply with a legal obligation;
- to monitor and record calls and electronic communications for (i) processing and verification of instructions, (ii) investigation and fraud prevention purposes, (iii) for crime detection, prevention, investigation and prosecution, (iv) to enforce or defend PFM or the Funds and its affiliates, itself or through third parties to whom it delegates such responsibilities or rights in order to comply with any legal obligation imposed on PFM or the Funds, (v) to pursue PFM's or the Funds' legitimate interests in relation to such matters or (vi) where the processing is in the public interest;
- to disclose information to other third parties such as service providers of PFM or the Funds, auditors, regulatory authorities and technology providers in order to comply with any legal obligation imposed on PFM or the Funds or in order to pursue the legitimate interests of PFM or the Funds;
- to monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of PFM or the Funds to improve service delivery;
- to update and maintain records and fee calculation;
- to retain AML and other records of individuals to assist with the subsequent screening of them;

and which are necessary to comply with the Funds', PFM's or the Custodian's legal obligations and/or which are necessary for the Funds', PFM's or the Custodian's legitimate interests indicated above and/or the processing is in the public interest.

Recipients of Data and International Transfer of Data

We may disclose your personal information as follows:

- to service providers, including the Custodian, and their affiliates, and other third party service providers engaged in order to process the data for the above mentioned purposes;
- to competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting; and
- the disclosure of personal information to the third parties set out above may involve the transfer of data to the USA and other jurisdictions outside the European Economic Area (EEA) in accordance with the requirements of the General Data Protection Regulation. Such countries may not have the same data protection laws as your jurisdiction.
- where we transfer your personal data outside the EEA, we will ensure that it is protected in a manner that is consistent with how your personal data will be protected by us in the EEA and that all transfers of personal data outside the EEA are done lawfully. This can be done in a number of ways, for instance, under an agreement which covers the EU requirements for the transfer of personal data outside the EEA, such as the European Commission approved standard contractual clauses.
- you can obtain more details of the protection given to your personal data when it is transferred outside the EEA by contacting us in accordance with the "How to contact us" section.



Retention period

- We and the Custodian will retain your personal information for as long as required for us or the Custodian to perform the Services or perform investigations in relation to same depending on whether additional legal/regulatory obligations mandate the retention of your personal information.

Data Subject Rights

You have the following rights, in certain circumstances, in relation to your personal information:

- Right to access your personal information.
- Right to rectify your personal information.
- Right to restrict the use of your personal information (in certain specific circumstances).
- Right to request that your personal information is erased (in certain specific circumstances).
- Right to object to processing of your personal information (in certain specific circumstances).
- Right to data portability (in certain specific circumstances).

Where we or the Custodian require your personal information to comply with AML or other legal requirements, failure to provide this information means we may not be able to accept you as a client.

You have the right to lodge a complaint with the UK Information Commissioner's Office if you consider that the processing of personal data relating to you carried out by us or the Custodians or our service providers infringes the General Data Protection Regulation.

How to contact us

If you have any questions about our use of your personal information, please contact us in writing to the Data Protection Officer at Premier Fund Managers Limited at Eastgate Court, High Street, Guildford, Surrey, GU1 3DE.

For your protection, calls may be monitored and recorded for training and quality assurance purposes.



Section 3. ISA transfers

If you need more instruction forms, please photocopy this sheet before completing.

Instruction to existing ISA Manager

Please:

- Transfer my existing ISA to Premier Fund Managers Limited as instructed.
- Supply Premier Fund Managers Limited with any information they require about my ISA(s) and comply with all instructions given to you by Premier Fund Managers Limited regarding the transfer of my cash and/or shares.
- Liaise with Premier Fund Managers Limited whom I have already informed of my transfer request and send a closing statement of my ISA(s) to Premier Fund Managers Limited.

Full transfer Estimated value £ Partial transfer Exact value £

Plan maturity date (if applicable):

Name of existing Plan Manager: _____

Address of existing Plan Manager: _____

Account number: _____

Account name: _____

Signature: _____ Date:

Instruction to existing ISA Manager

Please:

- Transfer my existing ISA to Premier Fund Managers Limited as instructed.
- Supply Premier Fund Managers Limited with any information they require about my ISA(s) and comply with all instructions given to you by Premier Fund Managers Limited regarding the transfer of my cash and/or shares.
- Liaise with Premier Fund Managers Limited whom I have already informed of my transfer request and send a closing statement of my ISA(s) to Premier Fund Managers Limited.

Full transfer Estimated value £ Partial transfer Exact value £

Plan maturity date (if applicable):

Name of existing Plan Manager: _____

Address of existing Plan Manager: _____

Account number: _____

Account name: _____

Signature: _____ Date:

Issued by Premier Miton Investors. Premier Portfolio Managers Limited is registered in England no. 01235867. Premier Fund Managers Limited is registered in England no. 02274227. Both companies are authorised and regulated by the Financial Conduct Authority and are members of the 'Premier Miton Investors' marketing group and subsidiaries of Premier Miton Group plc (registered in England no. 06306664). Registered office: Eastgate Court, High Street, Guildford, Surrey GU1 3DE.



