

Premier Portfolio Management Service

Additional Permitted Subscription (“APS”) application form

Please complete this form if your late spouse or civil partner held an ISA with Premier Fund Managers Limited and you would like to make a contribution to a Stocks & Shares ISA with us using your APS allowance. Your completed form should be returned to us at:

Premier Fund Managers Limited, Eastgate Court, High Street, Guildford, Surrey, GU1 3DE.

Please complete this form in BLOCK CAPITALS, using BLUE or BLACK INK.

Important

- This form should only be used for applications to invest in a Premier Portfolio Management Service portfolio. This form should **NOT** be used for direct investments into Premier Miton funds or for any applications being made through Connect, Premier Miton’s online fund portal.
- Please read the **Premier Portfolio Management Service Terms and Conditions**. Copies are available on our website premiermiton.com, by calling 0333 456 1122 or emailing investorservices@premiermiton.com

If you are unsure which form to use, please, get in contact with us. Call 0333 456 6363 or email investorservices@premiermiton.com.

1. Personal details (spouse or civil partner of the deceased)

Title: _____ First name: _____ Middle name(s): _____

Surname (family name): _____

Permanent residential address: _____

_____ Postcode: _____

Premier account number, if applicable: _____

Telephone: Home: _____ Mobile: _____

Email: _____

Date of Birth:

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National Insurance Number:

| | | | | | | | | |
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If you do not have a National Insurance Number, please tick this box:

Please provide your own personal security question and answer which will be required each time you telephone for information on your account (for example “what was the name of my first school?”, “what is my mother’s first name?”, “what was the name of my first pet?” or “what was the name of my favourite childhood friend?”).

Security question: _____

Security answer: _____

2. Details of the deceased

Title: _____ First name: _____ Middle name(s): _____

Surname (family name): _____

Permanent residential address at date of death: _____

_____ Postcode: _____

Premier ISA account number(s) for the deceased: _____



Date of Birth:

Date of Death:

Date of marriage or civil partnership between you and the deceased:

Deceased National Insurance Number:

Please tick this box if the deceased did not have a National Insurance Number:

3. Contribution and investment details

Once a subscription to an APS allowance has been made, any future subscriptions under that APS allowance MUST be made to this ISA manager (Premier Fund Managers Limited) and must be accompanied by an Additional APS application form.

I wish to subscribe £ _____ from my APS allowance in respect of the deceased into a Premier Fund Managers Limited Stocks and Shares ISA:

- In cash** (cheque attached made payable to SEI-Re: Premier Fund Managers Limited)
- In specie** (by the transfer of the deceased's existing ISA investments). This option is only available if the deceased's ISA was held with Premier Fund Managers Limited and the ISA assets have not previously been transferred to a beneficiary.

4. Portfolio choice

State which portfolio you wish to invest in and insert the details and an amount in the appropriate box(es) that follow.

| Portfolio name | Amount |
|--|-----------|
| Example: Premier Capital Builder Portfolio | £1,000.00 |
| | |
| | |
| Total | £ |

5. Financial adviser

Please provide the details of your financial adviser. Clients must have an IFA.

Premier Fund Managers Limited can facilitate payment of adviser charges in respect of this investment.

I have received advice from the following financial adviser

Company: _____ To be completed by your financial adviser.

Address: _____ Financial adviser stamp

FCA number: _____



- Any subscription is made under the provisions of regulation 5DDA of the ISA regulations (additional permitted subscription).
- Any subscription is being made:
 - in the case of 'in specie' subscriptions, within 180 days of beneficial ownership passing to me, and
 - in the case of cash subscriptions, within 3 years of the date of death, or if later, 180 days of the completion of the administration of the estate.
- All subscriptions made, and to be made, belong to me.

I authorise Premier Fund Managers Limited:

- To receive and hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash in the ISA.
- To make on my behalf any claims to relief from tax in respect of ISA investments.

Data Protection:

I agree to the following:

- The information I provide on this application form (or subsequently provide) will be held and processed by Premier Fund Managers Limited in accordance with the Data Protection Notice set out in this application form.
- I understand that this information will be processed by you in the setting up and administration of my ISA and that where a financial adviser acts on my behalf, Premier Fund Managers Limited will disclose information concerning my investment to that financial adviser.
- I have read and understand the Data Protection Notice set out on this application form.

I declare that this APS application has been completed to the best of my knowledge.

On signing this declaration, I confirm that I have read the latest Premier Portfolio Management Services Terms and Conditions, and the attached ISA Terms and Conditions and agree to be bound by them.

I agree to the ISA terms and conditions.

Applicant signature: _____ Date:

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Data protection notice

This notice sets out how Premier Fund Managers Limited ("PFM", "we" or "us") will process personal information (as a Data Controller)

Where your details are provided to PFM as a consequence of your engaging PFM to provide the Premier Portfolio Management Service, including investment in the Funds (the "Funds"), then PFM, acting as a data controller may itself (or through a third party such as SEI Investments (Europe) Limited (the "Custodian") acting in its capacity as your custodian) process your personal information or that of your representatives, such as trustees, beneficial owners, or directors.

When processing your personal information, there may also be times where the Custodian will act as a data controller.

To the extent that the Custodian is required by applicable laws to act as a data controller in processing your personal data the Custodian's privacy notice can be found on its website at: www.sei.com/enUK/about.htm.

Please note the following:

Purposes of Processing and Legal basis for processing

Your personal data may be processed by PFM or the Custodian (or any of their affiliates, agents, employees, delegates or sub-contractors) for the following purposes:

- To facilitate the opening of your account with PFM, the management and administration of your investments in the Premier Portfolio Management Service, including the Funds, or otherwise and any related account on an on-going basis (the "Services") which are necessary for the performance of your contract, including without limitation the processing of redemption, conversion, transfer and additional subscription requests and the payment of distributions;
- In order to carry out anti-money laundering checks and related actions which PFM considers appropriate to meet any legal obligations imposed on PFM or the Funds relating to, or the processing in the public interest or to pursue PFM or the Funds' legitimate interests in relation to, the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis, in accordance with PFM's anti-money laundering procedures;
- To report tax related information to tax authorities in order to comply with a legal obligation;
- To monitor and record calls and electronic communications for (i) processing and verification of instructions, (ii) investigation and fraud prevention purposes, (iii) for crime detection, prevention, investigation and prosecution, (iv) to enforce or defend PFM or the Funds and its affiliates', itself or through third parties to whom it delegates such responsibilities or rights in order to comply with any legal obligation imposed on PFM or the Funds, (v) to pursue PFM's or the Funds' legitimate interests in relation to such matters or (vi) where the processing is in the public interest;
- To disclose information to other third parties such as service providers of PFM or the Funds, auditors, regulatory authorities and technology providers in order to comply with any legal obligation imposed on PFM or the Funds or in order to pursue the legitimate interests of PFM or the Funds;



- To monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of PFM or the Funds to improve service delivery;
- To update and maintain records and fee calculation;
- To retain AML and other records of individuals to assist with the subsequent screening of them;

and which are necessary to comply with the Funds', PFM's or the Custodian's legal obligations and/or which are necessary for the Funds', PFM's or the Custodian's legitimate interests indicated above and/or the processing is in the public interest.

Recipients of Data and International Transfer of Data

We may disclose your personal information as follows:

- To service providers, including the Custodian, and their affiliates, and other third party service providers engaged in order to process the data for the above mentioned purposes;
- To competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting; and

The disclosure of personal information to the third parties set out above may involve the transfer of data to the USA and other jurisdictions outside the European Economic Area (EEA) in accordance with the requirements of the General Data Protection Regulation. Such countries may not have the same data protection laws as your jurisdiction.

Where we transfer your personal data outside the EEA, we will ensure that it is protected in a manner that is consistent with how your personal data will be protected by us in the EEA and that all transfers of personal data outside the EEA are done lawfully. This can be done in a number of ways, for instance, under an agreement which covers the EU requirements for the transfer of personal data outside the EEA, such as the European Commission approved standard contractual clauses.

You can obtain more details of the protection given to your personal data when it is transferred outside the EEA by contacting us in accordance with the "How to contact us" section below.

Retention period

We and the Custodian will retain your personal information for as long as required for us or the Custodian to perform the Services or perform investigations in relation to same depending on whether additional legal/regulatory obligations mandate the retention of your personal information.

Data Subject Rights

You have the following rights, in certain circumstances, in relation to your personal information:

- Right to access your personal information.
- Right to rectify your personal information.
- Right to restrict the use of your personal information (in certain specific circumstances).
- Right to request that your personal information is erased (in certain specific circumstances).
- Right to object to processing of your personal information (in certain specific circumstances).
- Right to data portability (in certain specific circumstances).

Where we or the Custodian require your personal information to comply with AML or other legal requirements, failure to provide this information means we may not be able to accept you as a client.

You have the right to lodge a complaint with the UK Information Commissioner's Office if you consider that the processing of personal data relating to you carried out by us or the Custodians or our service providers infringes the General Data Protection Regulation.

How to contact us

If you have any questions about our use of your personal information, please contact us on 0333 456 1122, or email investorservices@premiermiton.com, or in writing to the Data Protection Officer at Premier Fund Managers Limited at Eastgate Court, High Street, Guildford, Surrey, GU1 3DE.

For your protection, calls may be monitored and recorded for training and quality assurance purposes.

Issued by Premier Miton Investors. Premier Portfolio Managers Limited is registered in England no. 01235867. Premier Fund Managers Limited is registered in England no. 02274227. Both companies are authorised and regulated by the Financial Conduct Authority and are members of the 'Premier Miton Investors' marketing group and subsidiaries of Premier Miton Group plc (registered in England no. 06306664). Registered office: Eastgate Court, High Street, Guildford, Surrey GU1 3DE.

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